SEP 2 3 2004 W



CERTIFICATE OF MAILING

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Attorney for Applicant(s)

PATENT APPLICATION

Docket No.: 1098.2.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	Francis, et al.	
Serial No.:	•	Group Art
Filing Date:	,	Unit: 1761
For:	DISSOLVABLE FLAVORING CAPSULES	

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement discloses information which has come to the attention of applicant and his attorneys and is being submitted so as to comply with the duty of disclosure set forth in 37 C.F.R. § 1.56. In accordance with 37 C.F.R. § 1.97(c) the required fee of \$180.00 under § 1.17(p) is also being submitted.

Neither applicant nor his attorneys make any representation that any information disclosed herein may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103. Moreover, pursuant to 37 C.F.R. § 1.97, the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or as an admission that the

information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

In accordance with 37 C.F.R. § 1.98, this Information Disclosure Statement includes and is accompanied by:

- A completed copy of Form PTO-1449 listing the patents, publications and other information being submitted for consideration; and
- 2. A legible copy of each, publication and other item of information in written form listed on the enclosed Form PTO-1449.

NON-ENGLISH INFORMATION

Pursuant to 37 C.F.R. § 1.98, following is a concise explanation of the relevance (as it is presently understood by the individual designated in 37 C.F.R. § 1.56(c) most knowledgeable about the content of the information), of each listed patent, publication or other information that is not in the English language.

ABSTRACT:

JP356127065A

PURPOSE: To make the weighing of a seasoning or food accurate and facilitate the carrying thereof, by wrapping an adequate amount each of the seasoning or food with a covering material. CONSTITUTION: An adequate amount of salt, sugar or other seasonings or food is wrapped with a suitable covering material, e.g. gelatin, medical wafer or grain, e.g. corn, made of a suitable material to form one pack. The covering material needs to be solid at ordinary temperature in air in a liquid or human body.

JP10004865A

Gelatin capsules containing volatile flavourings (mint, vanilla, chocolate, lemon, strawberry, etc.), are kneaded into the cake dough prior to baking. The aim is to ensure that the flavouring is evenly distributed, to increase the potency of the flavouring and to enable a wider range of flavouring to be used.

JP361058537A

PURPOSE: To provide a soft gelatin capsule composed of a gelatin shell and the content consisting or delicious drink component and a dispersing medium made of vegetable oil, and soluble in the mouth to give delicious taste or refreshing feeling to the plate.

CONSTITUTION: The objective capsule is composed of the gelatin shell and the content consisting of a delicious drink component and a vegetable oil Dispersing medium. The delicious drink component is e.g. coffee, cocoa, tea, etc., which may contain a sweetener, milk, flavor or colorant. The kind of the component and its amount is adjusted according to the object of the final product or the taste of the individual consumer. The sweetener is preferably fructose from the viewpoint of the degree and the quality of sweetness. The flavor is cream essence, lemon oil, etc., and is selected according to the object of the final product.

Respectfully submitted,

Brian C. Kunzler

Reg. No. 38,527

Attorney for Applicant

Date: September 20, 2004

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TOTAL AMOUNT OF PAYMENT

1098.2.1

PTO/SB/05 (12/97)
Approved for use through 9/30/03. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Included to respond to a collection of information unless it displays a valid OMB control number. 10/802,997 **Application Number FEE TRANSMITTAL** March 17, 2004 **Filing Date** First Named Inventor C. Pauline Fancis **Group Art Unit** 1761 Note: Effective October 1, 2001. Patent fees are subject to annual revision. **Examiner Name**

Attorney Docket Number

\$180

METHOD OF PAYMENT (check one)					FEE CALCULATION (continued)							
1. 🗆				s hereby authorize			3. ADI	DITIONA	L FEES	S		_
indicated fees and credit any over payments to:				Large Entity Small Entity								
Deposit A	Accoun	t No.:			_		Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
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2. X I	Payme	nt Encio	sed:				1804	920*	1804	920*	Requesting publication of SIR prior to Examine action	:r
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		FE	E CA	LCULATION			1251	110	2251	55	Extension for reply within first month	
1. FIL	ING F						1252	420	2252	210	Extension for reply within second month	
							1253	950	2253	475	Extension for reply within third month	
Large Er	ntity :	Small E	ntity				1254	1480	2254	740	Extension for reply within fourth month	
Fee	Fee	Fee	Fee	Fee Descrip	tion	Fee Paid	1255	2010	2255	1005	Extension for reply within fifth month	
Code	(\$)	Code	(\$)				1401	330	2401	165	Notice of Appeal	
1001	770	2001	385	Utility filing fee			1402	330	2402	165	Filing a brief in support of an appeal	
1002	340	2002	170	Design filing fee			1403	290	2403	145	Request for oral hearing	
1003	530	2003	265	Plant filing fee			1451	1510	1451	1510	Petition to institute a public use proceeding	
1004	770	2004	385	Reissue filing fee	9		1452	110	2452	55	Petition to revive -unavoidably	
1005	160	2005	80	Provisional filing	fee		1453	1330	2453	665	Petition to revive - unintentional	
;	SUB1	TOTAL	_ (1)			\$0	1501	1330	2501	665	Utility issue fee	L_
2. CL/		-	• •	Fee 1	from		1502	480	2502	240	Design issue fee	
				Extra below		Fee Paid	1503	640	2503	320	Plant issue fee	
Total Clai	ims [20	-20 =	0 x 8	B =	0	1460	130	1460	130	Petitions to the Commissioner	
Ind. Clain	ns	3	-3 =	0 x 4	3 =	0	1807	50	1807	50	Petitions related to provisional applications	
Multiple [L	aims	· 		80 =	0	1806	180	1806	180	Submission of Information Disclosure Stmt	180
Large E	·	Small E	Entity				8021	40	8021	40	(times number of properties)	
Fee	Fee	Fee Code	Fee (\$)	Fee Descrip	tion		1809	770	2809	385	Filing a submission after final rejection (37 CFI 1.129(a))	२
1202 1201	18 86	2202 2201	• •	Claims in excess Independent clair		cess of 3	1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1203	290	2203		Multiple depende			Other fee	e (specify) _				
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SUBMITTED BY Reg. Number 38,527 Typed or Printed Name Brian C. Kunzler Deposit Account Sep 20, 2004 Date User ID

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.





LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

(use several sheets if necessary)

SERIAL NO. 10/802,997	ATTORNEY DOCKET NO. 1098.2.1				
FILING DATE March 17, 2004	GROUP ART UNIT 1761				
APPLICANT(S): Francis, et al.					

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE

FOREIGN PATENT DOCUMENTS

EXAMINER		DOCUMENT			CLASS/	TRANSLATION	
INITIAL		NUMBER	DATE	COUNTRY	SUBCLASS	YES	NO
	A1	JP56127065A	10/05/1981	JP	A23L001/22		Х
	A2	JP10004865A	01/13/1998	JP			X
	А3	JP361058537A	03/25/1986	JP	A23F005/36		X

NON-PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT (Including Author, Title, Source, and Pertinent Pages
	Α4	http://www.getsuckered.com/cgi-bin/shopping/cart.cgi GetSuckered.com
	Α5	"Seasonings" DIALOG (R) File 79: Foods Adlibra (TM), July 1981, p. p 88
	Α6	"Flavors" DIALOG (R) File 79: Foods Adlibra (TM), June 1982, p. p 32
	A7	Anon, "Ingredient capsules beg processing solutions" DIALOG (R) File 79: Foods Adlibra (TM), November 1992, p. p 81

EXAMINER	DATE CONSIDERED